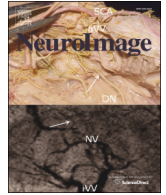




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Technical Note

Q1 Rapid multi-orientation quantitative susceptibility mapping

Q2 Berkin Bilgic^{a,f,*}, Luke Xie^b, Russell Dobb^{b,c}, Christian Langkammer^{a,d,f}, Aysegul Mutluay^e, Huihui Ye^{a,f},
 4 Jonathan R. Polimeni^{a,f}, Jean Augustinack^{a,f}, Chunlei Liu^{b,c}, Lawrence L. Wald^{a,f,g}, Kawin Setsompop^{a,f}

^a Athinoula A. Martinos Center for Biomedical Imaging, Charlestown, MA, USA^b Center for In Vivo Microscopy, Duke University Medical Center, Durham, NC, USA^c Brain Imaging and Analysis Center, Duke University Medical Center, Durham, NC, USA^d Department of Neurology, Medical University of Graz, Graz, Austria^e Middle East Technical University, Ankara, Turkey^f Department of Radiology, Harvard Medical School, Boston, MA, USA^g Harvard-MIT Health Sciences and Technology, MIT, Cambridge, MA, USA

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ABSTRACT

Three-dimensional gradient echo (GRE) is the main workhorse sequence used for susceptibility weighted 23
 imaging (SWI), quantitative susceptibility mapping (QSM), and susceptibility tensor imaging (STI). Achieving 24
 optimal phase signal-to-noise ratio requires late echo times, thus necessitating a long repetition time (TR). 25
 Combined with the large encoding burden of whole-brain coverage with high resolution, this leads to increased 26
 scan time. Further, the dipole kernel relating the tissue phase to the underlying susceptibility distribution 27
 undersamples the frequency content of the susceptibility map. Scans at multiple head orientations along with 28
 calculation of susceptibility through multi-orientation sampling (COSMOS) are one way to effectively mitigate 29
 this issue. Additionally, STI requires a minimum of 6 head orientations to solve for the independent tensor 30
 elements in each voxel. The requirements of high-resolution imaging with long TR at multiple orientations substan- 31
 tially lengthen the acquisition of COSMOS and STI. The goal of this work is to dramatically speed up susceptibility 32
 mapping at multiple head orientations. We demonstrate highly efficient acquisition using 3D-GRE with Wave- 33
 CAIPI and dramatically reduce the acquisition time of these protocols. Using R = 15-fold acceleration with 34
 Wave-CAIPI permits acquisition per head orientation in 90 s at 1.1 mm isotropic resolution, and 5:35 min at 35
 0.5 mm isotropic resolution. Since Wave-CAIPI fully harnesses the 3D spatial encoding capability of receive ar- 36
 rays, the maximum g-factor noise amplification remains below 1.30 at 3T and 1.12 at 7T. This allows a 30-min 37
 exam for STI with 12 orientations, thus paving the way to its clinical application. 38

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Source code and accompanying in vivo data:

COSMOS and STI: martinos.org/~berkin/COSMOS_STI_Toolbox.zip

Introduction

Quantitative susceptibility mapping (QSM) aims to estimate the
 tissue susceptibility distribution that gives rise to subtle changes in
 the main magnetic field (Shmueli et al., 2009; de Rochefort et al.,

2008), which are captured by the image phase in a gradient echo 50
 (GRE) experiment. The underlying susceptibility distribution is related 51
 to the acquired tissue phase through an ill-posed linear system 52
 (Marques and Bowtell, 2005). To facilitate its solution, spatial regulari- 53
 zation that imposes sparsity or smoothness assumptions, or additional 54
 GRE volumes acquired at multiple head orientations, is required. Influ- 55
 ential regularized QSM techniques include MEDI (de Rochefort et al., 56
 2010; Liu et al., 2011, 2012b), HEIDI (Schweser et al., 2012), and com- 57
 pressed sensing (CS) (Wu et al., 2012b). On the other hand, multi- 58
 orientation sampling relies on the fact that, as the head is rotated inside 59
 the receive array, the dipole kernel also moves relative to the main mag- 60
 netic field (Liu et al., 2009). This way, the undersampled frequency con- 61
 tent of the susceptibility map varies as a function of rotation, thereby 62
 enabling dipole inversion through the solution of an over-determined 63
 linear system. Such multi-orientation reconstruction is termed calcula- 64
 tion of susceptibility through multi-orientation sampling (COSMOS) 65
 and has been shown to provide higher quality estimates than regular- 66
 ized QSM from a single orientation (Liu et al., 2011; Wharton and 67
 Bowtell, 2010). 68

Abbreviations: GRE, gradient echo; SWI, susceptibility weighted imaging; QSM, quantitative susceptibility mapping; STI, susceptibility tensor imaging; SNR, signal-to-noise ratio; TE, echo time; TR, repetition time; COSMOS, calculation of susceptibility through multi-orientation sampling; CAIPI, controlled aliasing in parallel imaging; CS, compressed sensing; DTI, diffusion tensor imaging; CSF, cerebrospinal fluid; FFT, fast Fourier transform; SHARP, sophisticated harmonic artifact reduction for phase.

* Corresponding author at: Athinoula A. Martinos Center for Biomedical Imaging, 13th Street, Building 75, Room 2.102, Charlestown, MA, 02129.

E-mail address: berkin@nmr.mgh.harvard.edu (B. Bilgic).URL: <http://www.martinos.org/~berkin> (B. Bilgic).<http://dx.doi.org/10.1016/j.neuroimage.2015.08.015>

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A further advantage of COSMOS is that it does not require additional regularization, thus obviating regularization parameter value selection. The main difficulties with multi-orientation sampling are the increased acquisition time and patient discomfort due to less natural head positioning. In contrast, regularized single-orientation QSM benefits from reduced acquisition time. The drawbacks of single-orientation reconstruction include regularization parameter value selection and the presence of streaking artifacts or over-smoothing.

Susceptibility tensor imaging (STI) models the susceptibility distribution in each voxel as a tensor and is thus capable of capturing the orientation dependence and anisotropy of the tissue susceptibility (Liu, 2010). STI entails the estimation of 6 independent entries in a 3×3 symmetric tensor per voxel and requires data acquired at 6 or more head orientations to solve the ensuing inverse problem. Since the increased sampling requirement complicates STI data acquisition, previous *in vivo* human studies necessitated excessively long scan times and limited spatial resolution: (i) 16 min/orientation in Li et al.(2012a) at $2 \times 2 \times 2$ mm³ resolution without parallel imaging acceleration, (ii) 10 min/orientation in Wisnieff et al.(2013) at $1.5 \times 1.5 \times 1.5$ mm³ resolution without parallel imaging acceleration, and (iii) 5:15 min/orientation in Li et al.(2012b) at 1 mm³ resolution with R = 2.5×2 SENSE acceleration (Pruessmann et al., 1999). Collecting 12 orientations using the protocol reported in Wisnieff et al.(2013) would have taken 2 hours of constant scanning; repositioning, reshimming, and calibration led to a total imaging time of 4 hours. Employing prior information and regularized reconstruction allows STI estimation from fewer head orientations (Li et al., 2012b; Wisnieff et al., 2013; Li and van Zijl, 2014). Following the main eigenvector direction in STI permits fiber tractography, which has been demonstrated in mouse brain (Liu et al., 2012a), kidney (Xie et al., 2014), and heart (Dibb et al., 2014).

Prohibitively long scan times impede research and clinical applications of multi-orientation sampling, limiting its spatial resolution and restricting its use to *ex vivo* animal studies or highly compliant human subjects. In this work, we address this shortcoming and use highly efficient data acquisition to enable whole-brain, multi-orientation susceptibility mapping in clinically relevant scan times. Due to its ability to distribute aliasing across all 3 spatial dimensions, 3D-GRE with Wave-CAIPI (Bilgic et al., 2015) permits highly accelerated parallel imaging with low image artifact and noise amplification penalties. We pursue the application of Wave-CAIPI in multi-orientation imaging on two fronts: We propose a 20-min protocol at 0.5 mm isotropic resolution and 3 head orientations with whole-brain coverage at 7T. This is made possible by a 5:35-min acquisition per orientation upon R = 15-fold acceleration and yields susceptibility maps with exquisite contrast and detail in the cortex, basal ganglia, and cerebellum. Second, we propose a 30-min STI protocol at 1.1 isotropic resolution and 12 head orientations with whole-brain coverage at 3T. At R = 15-fold acceleration, Wave-CAIPI permits a 90-s acquisition per orientation, thus enabling robust, high-resolution *in vivo* STI in a clinically relevant scan time. Through such efficient encoding, we are also demonstrating STI tractography in the human brain for the first time.

The overall contributions of this work are:

1. Employing R = 15-fold accelerated Wave-CAIPI for high-resolution COSMOS imaging at 7T, thus enabling whole-brain acquisition with 0.5 mm isotropic resolution in 5:35 min/orientation.
2. Deploying the same acceleration factor to dramatically speed up STI acquisition, achieving 1.1 mm isotropic resolution with whole-brain coverage and long TE/TR in 90 s/orientation. Demonstrating *in vivo* STI tractography in the human brain for the first time.
3. Making Matlab software available for STI and COSMOS online at: martinos.org/~berkin/COSMOS_STI_Toolbox.zip

Theory

COSMOS

As the imaged object is rotated with respect to the main magnetic field, the dipole convolution relating the acquired phase ϕ_i to the scalar susceptibility distribution χ becomes

$$\mathbf{F}\phi_i = \mathbf{D}_i \cdot \mathbf{F}\chi \quad (1)$$

where i is the orientation index, \mathbf{F} is the discrete Fourier transform, and \mathbf{D}_i denotes the dipole kernel in the i^{th} frame due to $(\mathbf{D}_i)_k = 1/3 - k_{zi}^2/k^2$. The index k_{zi} is the projection of the k -space vector in the i^{th} frame onto the main field direction. The collection of phase images at N orientations can be formatted to yield the over-determined system,

$$\begin{bmatrix} \mathbf{D}_1 \\ \vdots \\ \mathbf{D}_N \end{bmatrix} \cdot \mathbf{F}\chi = \begin{bmatrix} \mathbf{F}\phi_1 \\ \vdots \\ \mathbf{F}\phi_N \end{bmatrix} \quad (2)$$

This set of equations can be solved in the least-squares sense by considering the problem

$$\min_{\chi} \sum_{i=1}^N \|\mathbf{D}_i \mathbf{F}\chi - \mathbf{F}\phi_i\|_2^2 \quad (3)$$

Taking the gradient of Eq. (3) and setting it to zero yields a closed-form solution,

$$\chi_{\text{cosmos}} = \mathbf{F}^{-1} \left(\sum_{i=1}^N \mathbf{D}_i^2 \right)^{-1} \cdot \sum_{i=1}^N \mathbf{D}_i \mathbf{F}\phi_i \quad (4)$$

This solution requires only fast Fourier transform (FFT) evaluations, point-wise multiplications, and the inversion of a diagonal matrix. It is thus extremely efficient, usually requiring several seconds of computation. A further refinement to the least-squares formulation makes use of the magnitude signal to penalize the deviation from the measured data via weighted least-squares,

$$\min_{\chi} \sum_{i=1}^N \left\| \mathbf{W} \mathbf{F}^{-1} (\mathbf{D}_i \mathbf{F}\chi - \mathbf{F}\phi_i) \right\|_2^2, \quad (5)$$

where \mathbf{W} is a diagonal matrix with entries proportional to the magnitude image. The solution of this problem involves the inversion of non-diagonal matrices, hence necessitating the use of iterative optimization (Liu et al., 2009).

Susceptibility tensor imaging

STI models the orientation dependence of tissue susceptibility through a tensor model, which results in a 3×3 symmetric matrix representing the apparent susceptibility tensor for each voxel. In the object's frame of reference, the observed phase in the i^{th} frame is related to this tensor via

$$(\mathbf{F}\phi_i)_k = \frac{1}{3} H_i^T \cdot \left(\mathbf{F}\overline{\chi} \right)_k \cdot H_i - H_i^T \cdot k \frac{k^T \cdot \mathbf{F}\overline{\chi} \cdot H_i}{k^2} \quad (6)$$

Here, $\overline{\chi}$ is the susceptibility tensor and H_i is the unit vector representing the main field direction in the i^{th} frame. Note that Eq. (6) is evaluated on a voxel-by-voxel basis, so that at a particular k -space position k , the 1-dimensional scalar $(\mathbf{F}\phi_i)_k$ is related to the 3×3 k -space tensor $(\mathbf{F}\overline{\chi})_k$. Now defining the operator \mathbf{A}_i that represents the mapping

173 from $\bar{\chi}$ to $\mathbf{F}\phi_i$ across all k-space elements, the STI inversion can also be
174 formulated as a least-squares problem,

$$\min_{\bar{\chi}} \sum_{i=1}^N \|\mathbf{A}_i \bar{\chi} - \mathbf{F}\phi_i\|_2^2 \quad (7)$$

176

Methods

177 Wave-CAIPI acquisition, reconstruction, and g-factor computation

178 Wave-CAIPI modifies the 3D-GRE sequence to follow a “corkscrew”
179 trajectory in k-space, which gives rise to voxel spreading in the readout
180 dimension. Since the amount of the spreading effect is dependent on the
181 (y, z) coordinates, the voxels that collapse on each other due to
182 undersampling are spread further apart in accelerated acquisitions.
183 With the addition of 2D-CAIPI slice-shifting (Breuer et al., 2006), the
184 combined effect dramatically improves the parallel imaging capability.
185 Even though Wave-CAIPI traverses a non-Cartesian trajectory, it is possible
186 to employ point-spread function (PSF) formalism to represent data
187 acquisition on a Cartesian grid (Bilgic et al., 2015). Determination of the
188 PSFs is equivalent to k-space trajectory estimation, which was pre-
189 computed on a head phantom prior to all *in vivo* acquisitions reported
190 herein, thus requiring no additional scan time for human subjects.

191 Wave-CAIPI reconstruction employs a generalized SENSE model
192 (Pruessmann et al., 1999), including coil sensitivity and PSF estimates.
193 Specifically, the reconstruction is decoupled into sub-problems that
194 are solved independently for each set of collapsed readout rows. At
195 $R = 15$ acceleration, we solve for 15 collapsed readout image rows at
196 a time, and loop over y (phase) and z (slice) spatial positions. This
197 leads to the forward SENSE model,

$$\begin{bmatrix} W_1 C_{11} S_1 & \dots & W_N C_{1N} S_N \\ \vdots & & \vdots \\ W_1 C_{M1} S_1 & \dots & W_N C_{MN} S_N \end{bmatrix} \begin{bmatrix} \text{row}_1 \\ \vdots \\ \text{row}_N \end{bmatrix} = \begin{bmatrix} \text{coil}_1 \\ \vdots \\ \text{coil}_M \end{bmatrix} \quad (8)$$

199 where $\{\text{row}_j\}_{j=1}^N$ are the unknown readout rows, $\{\text{coil}_i\}_{i=1}^M$ are the col-
200 lapsed coil data, $\{S_j\}_{j=1}^N$ are the slice-shifting operators that undo the
201 2D-CAIPI interslice shifts, $\{W_j\}_{j=1}^N$ are the Wave point spread operators,
202 and C_{ij} are the coil sensitivities. To capture the noise correlation across
203 the receive channels, the coil sensitivities and the coil images are pre-
204 whiten with the inverse square root of the noise covariance matrix,
205 $\Psi^{-1/2}$. For the present experiments, $M = 32$ coils are used to unalias
206 $N = 15$ readout rows. Compactly representing this system as
 $\mathbf{E} \cdot \text{row} = \text{coil}$, the g-factor value at position r is evaluated in closed-

207 form as $g_r = \sqrt{[(\mathbf{E}^H \mathbf{E})^{-1}]_{rr} \cdot (\mathbf{E}^H \mathbf{E})_{rr}}$.

208 Wave-CAIPI at 7T: COSMOS acquisition and processing

209 A healthy volunteer (female, age 26) was scanned using a research
210 whole-body 7T system (Siemens AG, Erlangen, Germany) in compliance
211 with the institutional review board (IRB) requirements. A custom tight-
212 fitting 32-channel head coil was used for reception (Keil et al., 2010).
213 Low-resolution, rapid 3D-GRE data were acquired with head array and
214 birdcage mode for coil sensitivity estimation. The parameters for these
215 calibration scans were: TR/TE = 5.3/1.53 ms, FOV = 255 × 255 ×
216 180 mm³, resolution = 2 × 3 × 3 mm³, matrix size = 128 × 85 × 60,
217 bandwidth = 1950 Hz/pixel, flip angle = 25°, with 20% slice
218 oversampling to prevent wrap-around due to imperfect slab selective
219 excitation. Since the 7T system lacks a body coil receiver, birdcage
220 mode was employed as reference for coil sensitivity estimation. This
221 permitted computation of the phase offset of each channel in the head
222 array, thereby eliminating potential phase singularities in the com-
223 bined phase image. After normalizing the head array data with the bird-
224 cage mode image, 7th order polynomial fitting and iterative jSENSE

225 processing (Ying and Sheng, 2007) were performed to estimate coil sen-
226 sitivity profiles.

227 For the 0.5-mm isotropic resolution scan with $R = 15$ -fold accelera-
228 tion, the same FOV was used and the orientation of the acquisition box
229 was held constant across different head orientations. The remaining pa-
230 rameters were TR/TE = 29/19.5 ms, matrix size = 480 × 480 × 360,
231 bandwidth = 100 Hz/pixel, flip angle was optimized based on the
232 Ernst angle relation and was set to 10.8°. The sinusoidal gradient wave-
233 forms for the corkscrew trajectory were designed to have 7 cycles dur-
234 ing the 10-ms readout while not exceeding $G_{\max} = 20$ mT/m and
235 slew = 70 mT/m/ms. Slab-selective excitation was achieved using a
236 custom RF pulse with sharp cut-off (time-bandwidth product = 50)
237 to image an 18-cm thick slab in the head-foot direction. This excitation
238 allowed data acquisition without the need for slice oversampling, where
239 the RF pulse was VERSE'd (Conolly et al., 1988) to allow rapid coverage
240 of the large extent in excitation k-space without incurring high specific
241 absorption rate (SAR). Acquisition time was 5:35 min per orientation.

242 Data were acquired at a total of three orientations, with rotations of
243 0°, 7.4°, and 13° relative to the main field. B_0 shimming and coil sensitiv-
244 ity calibration acquisition were performed prior to QSM acquisition at
245 each orientation. Following Wave-CAIPI reconstruction, brain masks
246 were generated using FSL-BET (Smith, 2002). Raw phase images of
247 each orientation were unwrapped and filtered using Laplacian
248 unwrapping and V-SHARP background removal with kernel size = 25
249 (Li et al., 2011; Wu et al., 2012b) using the STI Suite (available at
250 people.duke.edu/~cl160/). FSL-FLIRT (Jenkinson et al., 2002) was used
251 to compute the rotation matrices from the magnitude data. The tissue
252 phase images were then registered onto the neutral frame, which was
253 assumed to coincide with the main magnetic field, using the computed
254 rotations with sinc interpolation. Finally, COSMOS reconstruction with
255 weighted least-squares formulation (Eq. (5)) was employed to compute
256 the susceptibility map. Since the tight-fitting head coil allowed only
257 minor head rotations, a Tikhonov penalty was added to mitigate the re-
258 sidual streaking artifacts via the regularizer $\mathcal{R}(\chi) = \|\chi\|_2^2$ with regular-
259 ization parameter $\lambda = 0.05$. Optimization was performed using LSQR
260 (Paige and Saunders, 1982).

Wave-CAIPI at 3T: STI acquisition and processing

261 A healthy volunteer (female, age 30) was scanned at a Siemens 3T
262 TIM Trio system (Erlangen, Germany) in compliance with the IRB re-
263 quirements. For parallel reception, a 32-channel product head coil was
264 used. Low-resolution, rapid 3D-GRE data were acquired with head and
265 body coils for coil sensitivity calibration. The parameters for the calibra-
266 tion acquisition were TR/TE = 3.7/1.67 ms, FOV = 255 × 255 ×
267 180 mm³, resolution = 2 × 3 × 3 mm³, matrix size = 128 × 85 × 60,
268 bandwidth = 1030 Hz/pixel, flip angle = 15°, with 20% slice
269 oversampling and the acquisition time was 23 s. This set of low-
270 resolution images was acquired for each head orientation and was
271 used to compute coil sensitivity profiles with the same polynomial
272 fitting and jSENSE pipeline. Shimming and sensitivity calibration were
273 performed for each head orientation. Following these preparation
274 steps, $R = 15$ -fold accelerated Wave-CAIPI data were collected with
275 the same FOV and TR/TE = 35/25 ms, 1.1 mm isotropic resolution, ma-
276 trix size = 240 × 240 × 168, bandwidth = 100 Hz/pixel, flip angle =
277 14° (Ernst angle), slab selective RF pulse with time-bandwidth
278 product = 50, and FOV/2 slice-shift. The Wave gradient waveforms
279 were designed to have 7 sinusoidal cycles during the 10 ms readout
280 while not exceeding $G_{\max} = 5$ mT/m and slew = 50 mT/m/ms. Acqui-
281 sition time was 90 s per orientation.
282

283 Data were acquired at 12 different orientations with angles up to
284 25.4° relative to the main field. The same phase processing pipeline
285 was followed (BET, FLIRT, STI Suite) to generate tissue phase images
286 registered onto the neutral frame. Thanks to the increased number of
287 orientations and the larger head coil that allowed greater angles,
288 COSMOS computation was performed using the closed-form solution

in Eq. (4) without additional regularization. STI eigenvalues were obtained from Eq. (7) using an LSQR solver (Paige and Saunders, 1982). Mean magnetic susceptibility (MMS) and magnetic susceptibility anisotropy (MSA) were derived from the eigenvalues using $\chi_{MMS} = (\lambda_1 + \lambda_2 + \lambda_3)/3$ and $\chi_{MSA} = \lambda_1 - (\lambda_2 + \lambda_3)/2$ where λ_1 denotes the most paramagnetic component. STI tractography solution and visualization were performed using Diffusion Toolkit and TrackVis (Wang et al., 2007). Tracks of lengths within the range 20–100 mm were plotted.

297 Characterization of off-resonance effects for Wave-CAIPI

Wave-CAIPI provides a rapid acquisition without undesirable image distortion/blurring from B0 inhomogeneity. This is because Wave-CAIPI traverses k-space in the readout direction with the same constant rate as conventional acquisitions, with B0 inhomogeneity-related phase evolving solely as a function of k_x . To validate this, a water phantom was scanned at 3T using conventional and Wave-CAIPI 3D-GRE sequences with 2 mm isotropic resolution, $96 \times 96 \times 60$ matrix size, FOV = $192 \times 192 \times 120$, TR/TE = 20/10 ms, and 100 Hz/pixel bandwidth. Both datasets were fully sampled and acquired in the presence of large B0 off-resonance (500 Hz) imposed by manually offsetting the B0 shims. To serve as ground truth, conventional GRE data were also collected on-resonance with otherwise identical parameters. To keep the echo spacing short and prevent phase wraps, B0 mapping was conducted using three sequentially acquired conventional GRE volumes with echo times TE₁/TE₂/TE₃ = 9.5/10/10.5 ms. It is also possible to employ a single GRE acquisition with multiple echos for field mapping (Robinson et al., 2011; Robinson and Jovicich, 2011), albeit at the cost of more involved processing.

316 Comparison to normal GRE and 2D-CAIPI

To provide quantitative comparison to existing acquisitions techniques, a healthy volunteer (female, age 28) was scanned at a Siemens 3T TIM Trio system. Using the same coil sensitivity calibration protocol, R = 15-fold accelerated normal GRE, 2D-CAIPI, and Wave-CAIPI data were collected with the same parameter setting as in the “Wave-CAIPI at 7T: COSMOS acquisition and processing” section. For parallel imaging, the same set of coil sensitivities were used for all three methods. The reconstruction for normal GRE and 2D-CAIPI employed a direct SENSE inversion, while Wave-CAIPI used iterative SENSE. The software implementation for 2D-CAIPI and Wave-CAIPI reconstruction is available online at martinos.org/~berkin/Wave_Caiipi_Toolbox.zip and is detailed in (Bilgic et al., 2015). G-factor analysis was also performed for each dataset.

330 Time-SNR analysis to quantify data quality

A healthy volunteer (male, age 35) was scanned at a Siemens 3T TIM Trio system to quantify the robustness and data quality of Wave-CAIPI. To this end, R = 15-fold accelerated normal GRE and Wave-CAIPI data as well as fully sampled, time-matched normal GRE with reduced slice coverage were acquired. To enable time-SNR analysis, 7 averages were collected during each of the acquisitions. The parameter setting for R = 15-fold accelerated normal GRE and Wave-CAIPI was identical to that in the “Wave-CAIPI at 7T: COSMOS acquisition and processing” section corresponding to a scan time of 90 s/average. The fully sampled, time-matched acquisition had substantially reduced slice coverage of 16 slices with identical voxel size of 1.1 mm isotropic. To attain the same 90 s/average scan time, the fully sampled acquisition had also employed reduced in-plane FOV of 204×178.5 mm² and a corresponding matrix size of 192×168 . Following zero padding in image space to size 240×240 , fully sampled data were coil combined using the same sensitivity profiles employed for R = 15 parallel imaging reconstruction.

The stability of the acquisition techniques was quantified using time-SNR analysis. The “signal” term in the time-SNR metric was

estimated with the mean image computed over the 7 averages. The “noise” term was taken to be the standard deviation across the 7 averages, and the ratio of “signal/noise” yielded the time-SNR estimates. To account for involuntary movement, motion correction was applied using MCFLIRT (Jenkinson et al., 2002) across the averages. Registration matrices for motion correction were estimated on the brain masked magnitude volumes, which were then applied to real and imaginary channels of the complex volumes.

Results

Fig. 1 shows Wave-CAIPI reconstructions at 3T and 7T for the neutral head orientation. The large FOV allows for capturing the head rotation without repositioning the acquisition volume. From g-factor analysis, the maximum and average g-factors were found to be $g_{max} = 1.09$ and $g_{avg} = 1.30$ at 3T, and $g_{max} = 1.03$ and $g_{avg} = 1.12$ at 7T.

Tissue phase averaged across orientations and the COSMOS reconstruction with 0.5 mm isotropic resolution at 7T are presented in Fig. 2. Mean intensity projections are computed over 2.5-mm-thick slabs. Detailed depiction and high tissue contrast in the cortex, basal ganglia, and cerebellum are observed in phase and susceptibility images.

Fig. 3 shows a zoomed view of basal ganglia nuclei, cerebellum, and gray-white matter contrast in the cortex with high resolution at 7T. While phase and susceptibility images provide increased conspicuity relative to the magnitude data, COSMOS is seen to yield even better localization than the tissue phase due to deconvolution of the dipole effects.

Fig. 4 focuses on the thalamic substructures, where the susceptibility contrast shows improved conspicuity in identifying these subtle nuclei.

12-orientation Wave-CAIPI acquisition with 1.1 mm isotropic resolution at 3T is used to create the average phase and COSMOS images in Fig. 5. In addition to these scalar maps, STI analysis yielded the eigenvalues, MMS and MSA plots depicted in Fig. 6. In both figures, mean intensity projections were computed over 3-mm-thick slabs. Tractography solution following the major eigenvector led to the fiber visualizations in Fig. 7.

The effect of off-resonance acquisition for Wave-CAIPI is demonstrated in Fig. 8, where the Wave-CAIPI reconstruction results in a sharp image with the same image shift of 5 voxels along the readout direction as the standard acquisition. This illustrates that the Wave trajectory does not incur any undesirable image distortion/blurring from B0 inhomogeneity and that the off-resonance characteristic is the same as the conventional GRE acquisition.

Parallel imaging performance of normal GRE, 2D-CAIPI, and Wave-CAIPI are compared in Fig. 9. G-factor analysis results are depicted for acceleration factor R = 15 with 1.1 mm isotropic voxel size at 3T. The maximum and average g-factors were found to be $g_{max} = 3.33, 3.48, 1.42,$ and $g_{avg} = 1.46, 1.42,$ and 1.10 for normal GRE, 2D-CAIPI, and Wave-CAIPI, respectively.

Mean volumes computed over 7 averages and time-SNR analyses are presented in Fig. 10. The average time-SNR values inside the brain mask limited to 16 slices were 6.08, 8.61, and 9.99 for R = 15-fold accelerated normal GRE, Wave-CAIPI, and the fully sampled normal GRE, respectively. The average time-SNR inside the entire brain mask was 6.87 and 8.58 for R = 15 normal GRE and Wave-CAIPI.

Discussion

Wave-CAIPI acquisition and reconstruction

Wave-CAIPI modifies the 3D-GRE acquisition to incur interslice shifts in the phase encoding dimension and voxel spreading along the readout direction. This creates a highly efficient sampling strategy that spreads the aliasing in all 3 spatial dimensions. Unlike EPI sampling, the Wave trajectory incurs no geometric distortion, and the off-

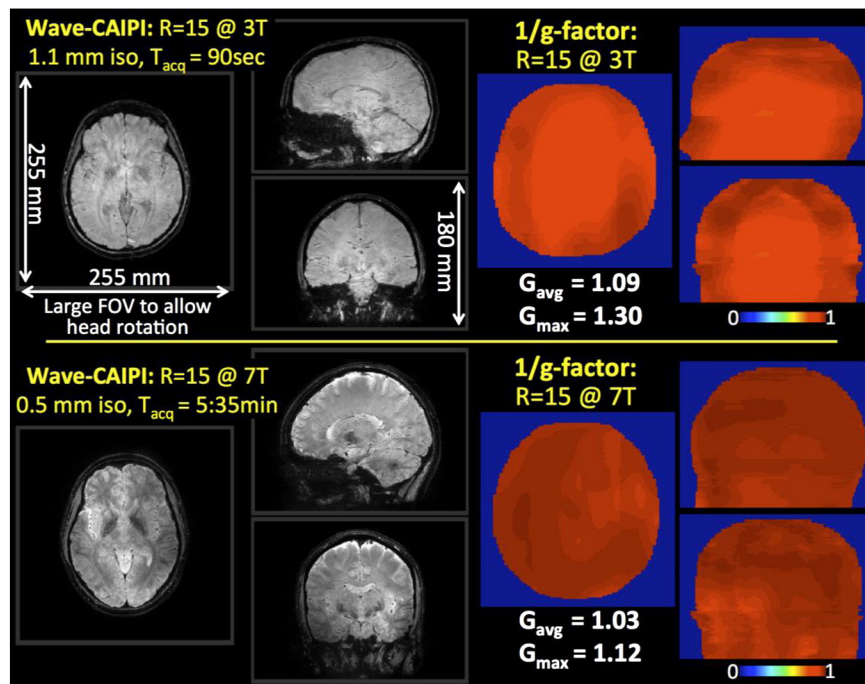


Fig. 1. R = 15-fold accelerated 3D-GRE with Wave-CAIPI at 3T and 7T. The large FOV ($255 \times 255 \times 180 \text{ mm}^3$) allows imaging of the entire brain across head orientations without moving the prescribed acquisition volume. G-factor analysis reveals high-quality parallel imaging with reduced noise amplification penalty at both field strengths.

410 resonance effect is simply a voxel shift in the readout direction identical to what is seen in a conventional 3D-GRE acquisition (shown in
411 Fig. 8). The Wave trajectory creates the same amount of voxel shift in
412 the readout direction due to B_0 inhomogeneity as would any Cartesian
413 acquisition, with no additional blurring or distortion. The reason for
414 the off-resonance characteristic that is identical to that of a conventional
415 GRE is that the off-resonance phase in Wave-CAIPI evolves only as a
416

417 function of k_x and not k_y/k_z . The relatively low readout bandwidth
418 (100 Hz/pixel) was chosen to improve the SNR. This would lead to
419 $\sim 5 \text{ mm}$ fat–water shift at both 3T and 7T (assuming 450 Hz frequency
420 shift and 1.1 mm spatial resolution at 3T, and 1050 Hz shift and
421 0.5 mm resolution at 7T). This amount of fat–water shift was relatively
422 small and did not cause signal overlap across subcutaneous lipid and
423 brain voxels (Fig. 1). Even though the subject's head undergoes a rigid

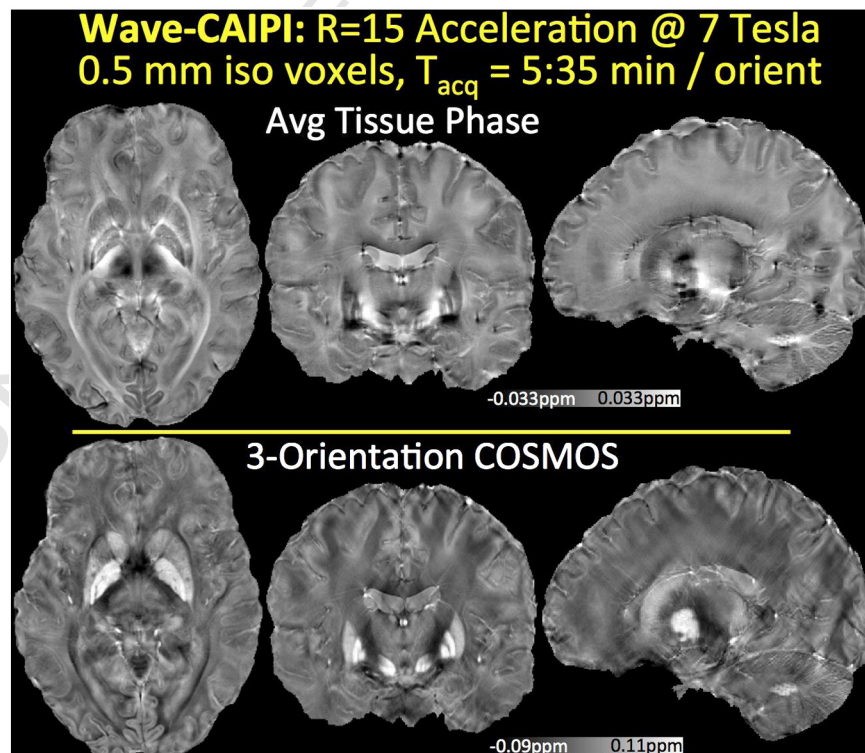


Fig. 2. Tissue phase and susceptibility map obtained from 15-fold accelerated Wave-CAIPI acquisition with 0.5 mm isotropic resolution at 7T. High encoding efficiency yields a 5:35 min acquisition per head orientation with long TR/TE = 29/19.5 ms.

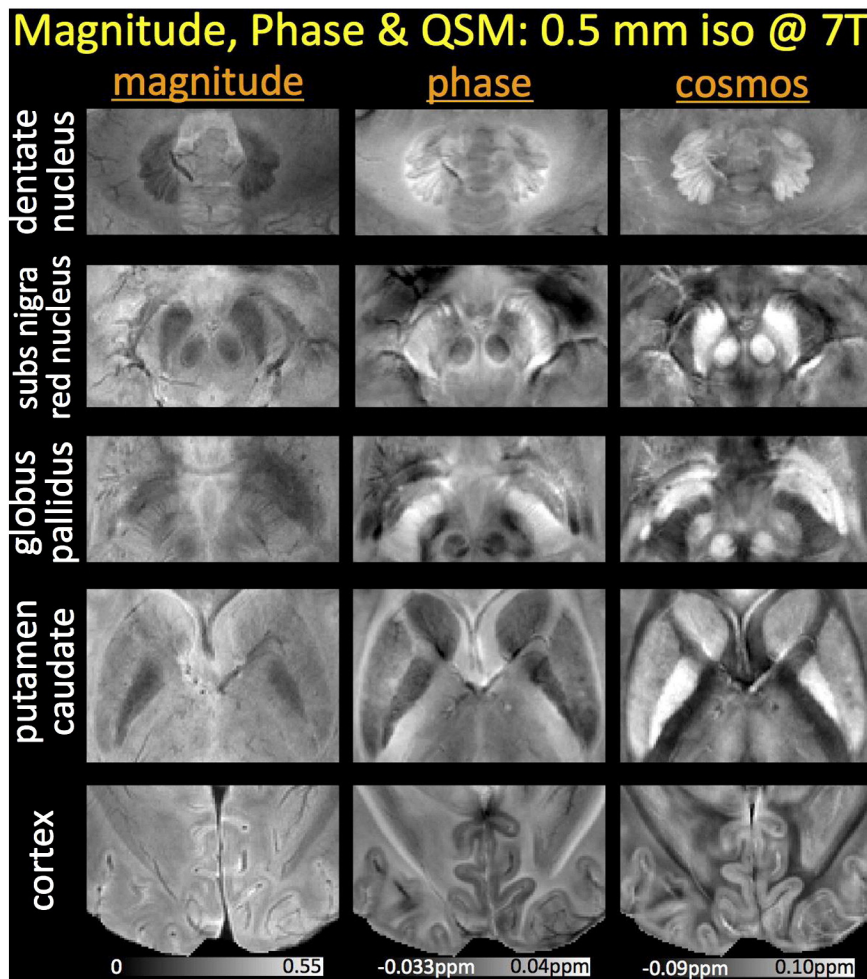


Fig. 3. Zoomed views of magnitude, phase, and susceptibility reconstructions at 7T. While phase and COSMOS yield higher contrast than the magnitude signal, QSM deconvolution further mitigates the non-local dipole effects seen in the frequency maps. This provides the susceptibility images with the ability to depict the cerebellum, basal ganglia, and cerebral cortex with superb contrast.

424 rotation and shimming is applied per each orientation, field inhomoge-
 425 neity is likely to cause to imperfect alignment of the rotated scans. To
 426 mitigate this issue, we employed registration with 12 degrees of free-
 427 dom rather than the more restrictive rigid body (6 degrees of freedom)
 428 registration.

429 The point-spread formalism permits Cartesian treatment of this
 430 non-Cartesian trajectory, thus obviating the need for gridding or non-
 431 uniform FFT (Fessler and Sutton, 2003). PSF estimation was performed
 432 prior to human scanning on a head phantom, independently of the
 433 *in vivo* acquisitions, hence requiring no additional scan time for the
 434 human subjects. Parallel imaging reconstruction is currently performed

435 in Matlab, and takes 1 hour for 1.1 mm isotropic whole-brain data. Fast
 436 reconstruction employing the efficient Berkeley Advanced Reconstruct-
 437 ion Toolbox (BART) is in progress (Uecker et al., 2013) and can poten-
 438 tially speed up the reconstruction by an order of magnitude.

439 Since the loading of the receive array is affected as the subject's head
 440 moves, coil sensitivity calibration is performed for each head rotation.
 441 The current study uses fast 3D-GRE acquisitions with head and body
 442 coil reception using the shortest TR/TE combination possible, which re-
 443 quire 23 s per volume. Coil sensitivities obtained from the head/body
 444 coil normalization provide high-quality parallel imaging and phase-
 445 sensitive coil combination. This is because this normalization eliminates

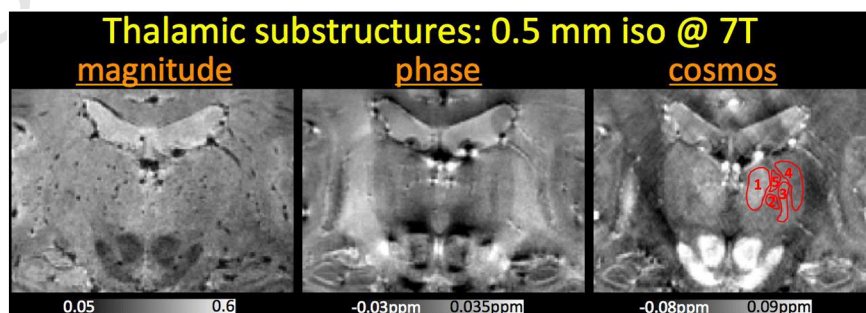


Fig. 4. Zoomed views of the thalamic substructures at 7T with 0.5 mm isotropic resolution. The nuclei visible in COSMOS reconstruction from this view are (1) medial dorsal, (2) centromedian and parafascicular, (3) ventral posterior (lateral and medial), (4) ventral lateral, and (5) intralaminar nuclei.

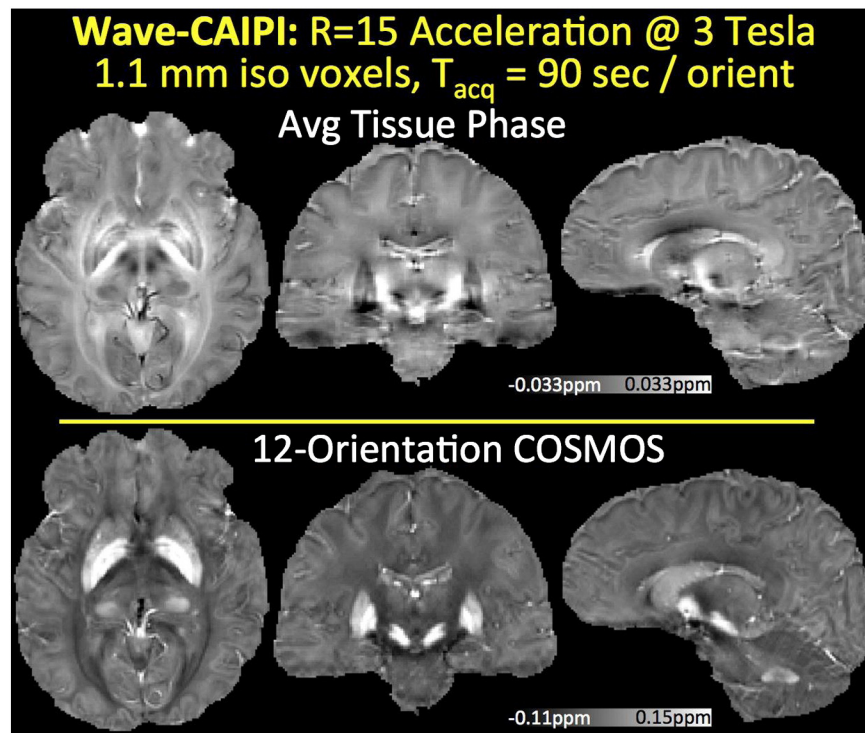


Fig. 5. Tissue phase and COSMOS solution from 12 orientation data acquired using 15-fold accelerated Wave-CAIPI with 1.1 mm isotropic resolution at 3T. For each orientation, this led to a 90 s scan with long TR/TE = 35/25 ms.

446 the magnitude and phase contrast belonging to the imaged object and
 447 yields the underlying coil profile. The normalized coil profiles are
 448 further smoothed with polynomial fitting and iteratively processed
 449 using JSENSE to improve their fidelity. Processing this calibration infor-
 450 mation with the recent ESPIRiT algorithm (Uecker et al., 2014) will
 451 allow automated coil profile estimation and obviate the need for poly-
 452 nomial smoothing.

453 Application of highly accelerated Wave-CAIPI imaging to multi-
 454 orientation QSM may facilitate the research and clinical investiga-
 455 tions of COSMOS and STI protocols. At 15-fold acceleration, the maxi-
 456 mum g-factor noise amplification penalty g_{\max} due to parallel imaging
 457 reconstruction remains below 1.30 at 3T and 1.12 at 7T (Fig. 1). This
 458 dramatic speed-up may particularly be useful for STI acquisition,
 459 which has been limited to animal and highly compliant human studies
 460 to date, requiring up to 4 hours of scanning (Li et al., 2012a; Wisnieff

et al., 2013). Wave-CAIPI acceleration permits a 30-min full STI exami- 461
 nation with 12 orientations (Figs. 5–7), including coil sensitivity 462
 calibration and shimming for each orientation (18 min for Wave- 463
 CAIPI + 8 min for coil profiles + 4 min for shimming). It also allows 464
 COSMOS imaging with 0.5 mm isotropic resolution and 3 orientations 465
 to be completed in 20 min (17 min for Wave-CAIPI, 3 min for calibra- 466
 tion and shimming). With the ability to provide exquisite cortical con- 467
 trast and superb depiction of basal ganglia nuclei and cerebellum 468
 (Figs. 2 and 3), rapid Wave-CAIPI imaging at ultra high resolution may 469
 pave the way toward “*in vivo* histology” through MRI (Deistung et al., 470
 2013). During the long scan time (17 min/orientation, w/o parallel im- 471
 aging, 0.4 mm isotropic resolution, TE = 10.5 ms) required in the ele- 472
 gant COSMOS study by Deistung et al., involuntary subject movement 473
 will become an additional complication. Upon 15-fold acceleration, we 474
 substantially reduce the motion sensitivity while providing a practical 475

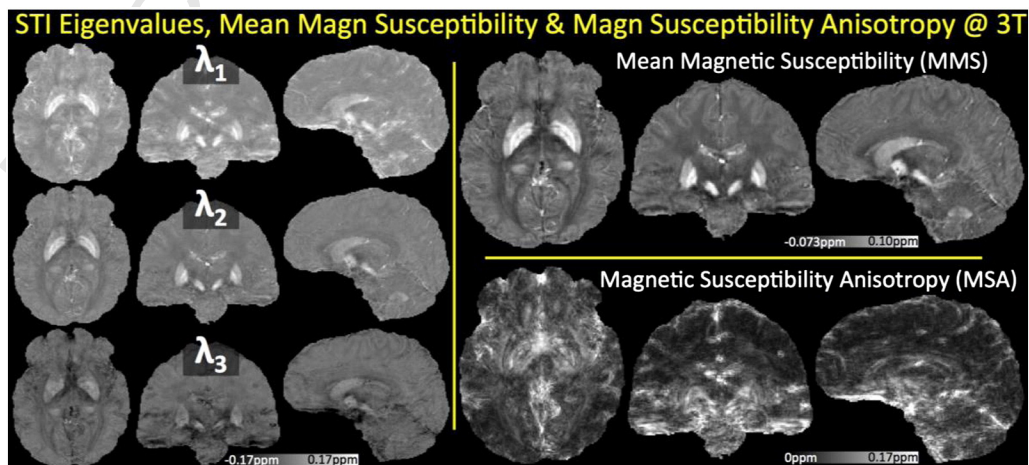


Fig. 6. Susceptibility tensor imaging analysis from 12 orientations at 3T. Tensor eigenvalues are depicted on the left, where the principal component λ_1 corresponds to the most paramagnetic eigenvalue. The average of the eigenvalues yielded the mean magnetic susceptibility, while the combination $\lambda_1 - (\lambda_2 + \lambda_3)/2$ revealed the magnetic susceptibility anisotropy.

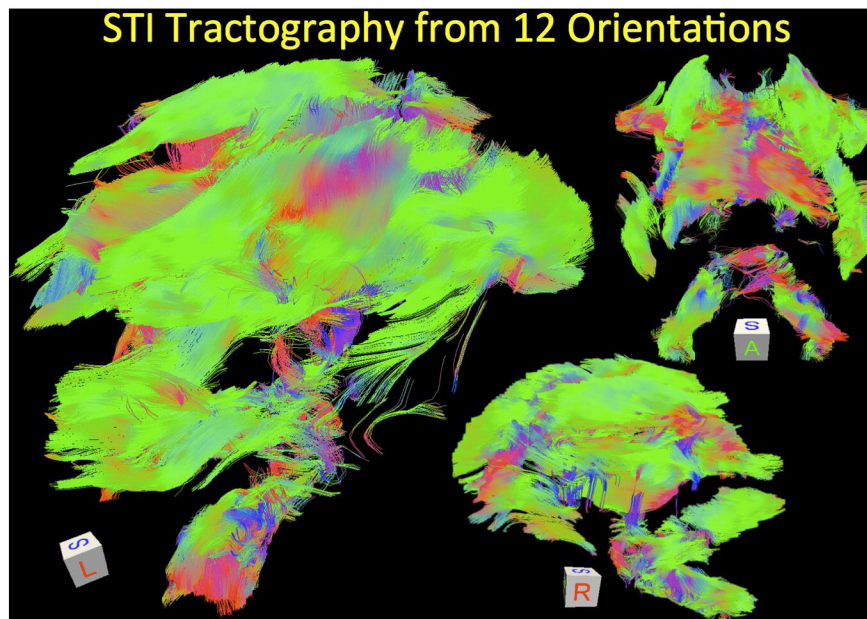


Fig. 7. Tractography solution following the main eigenvector of the STI eigensystem at each voxel. Sagittal and coronal views are shown, where color coding indicates the directionality of the fibers. The orientations are reflected in the cube displayed in each panel.

476 acquisition time-frame and improved phase contrast at the longer TE of
477 19.5 ms.

478 In addition to providing exquisite contrast in the cortex, basal
479 ganglia, and cerebellum, high-resolution susceptibility mapping
480 enabled identification of thalamic substructures at ultra high field. The
481 lateral dorsal, medial dorsal, centromedian, and ventral posterior (later-
482 al and medial) nuclei of the thalamus were particularly discernable
483 in the susceptibility map (Fig. 4). Conversely, the magnitude contrast
484 was low and homogenous throughout the thalamus and the phase
485 image suffered from non-local dipole effects that prohibited observa-
486 tion of these nuclei. The more easily distinguishable substructures,
487 anterior, ventral anterior, pulvinar, medial geniculate, and lateral genic-
488 ulate nuclei were observed in the susceptibility maps and the mag-
489 nitude images. Susceptibility contrast had again superior conspicuity
490 in these substructures. Having the ability to scroll through the QSM
491 volume while evaluating the contrast helped us delineate these
492 substructures.

493 High-resolution COSMOS imaging provides detailed depiction
494 of iron-rich deep gray matter structures including the substantia
495 nigra, subthalamic nucleus, globus pallidus, red nucleus, putamen,
496 and caudate as well as the dentate nucleus in the cerebellum (Fig. 3).
497 As excessive iron deposition in these nuclei occurs in a variety of

neurodegenerative disorders, e.g. Alzheimer's disease (Acosta-
498 Cabronero et al., 2013) and multiple sclerosis (Langkammer et al.,
499 2013), susceptibility mapping has the potential of providing a tool for
500 monitoring or even diagnosis. Furthermore, the superb contrast-to-
501 noise ratio in the susceptibility images of the subthalamic nucleus,
502 substantia nigra, and globus pallidus may facilitate precise electrode
503 placement in deep brain stimulation (DBS) (Liu et al., 2013b; Deistung
504 et al., 2013). STI, on the other hand, is an emerging tool for measure-
505 ment and quantification of susceptibility anisotropy in white matter,
506 which mainly originates from the myelin membrane lipids (Li et al.,
507 2012a). STI is being developed as a high-resolution fiber tracking tech-
508 nique as GRE acquisitions attain sub-millimeter resolution with high
509 SNR (Liu et al., 2014). It has been recently reported that prenatal alco-
510 hol exposure significantly reduces susceptibility anisotropy of the white
511 matter, and magnetic susceptibility may be more sensitive than DTI for
512 detecting subtle myelination changes (Cao et al., 2014). Mapping the
513 susceptibility anisotropy is a key step in analyzing STI data and has al-
514 ready been demonstrated in (Liu et al., 2013a; Xie et al., 2014; Li et al.,
515 2012b). In this work, we have taken this analysis further to demonstrate
516 the first STI tractography in the human brain. 517

The benefits of employing signal reception at ultra-high-field
518 strength in this study were three-fold: (i) In addition to the increased
519

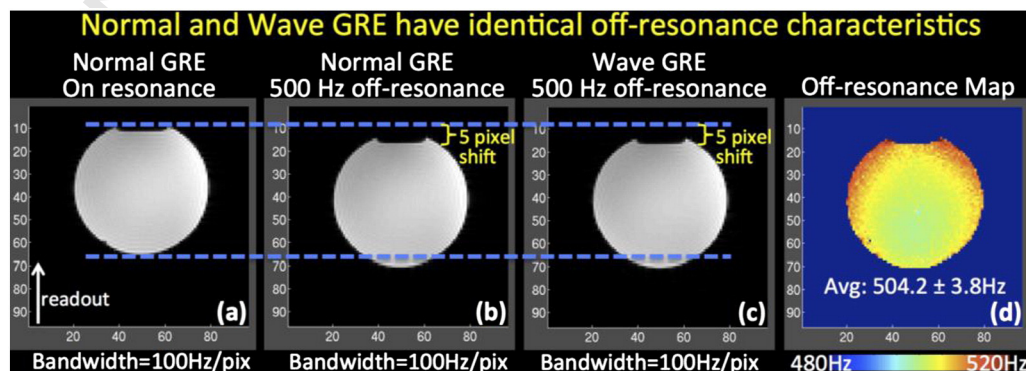


Fig. 8. The effect of off-resonance on Wave-CAIPI acquisition is a voxel shift in the readout direction identical to conventional acquisition. (a) Conventional GRE data acquired on-resonance. (b) Conventional GRE acquired at 500 Hz off-resonance. (c) GRE acquired using Wave-CAIPI trajectory at 500 Hz off-resonance. (d) Estimated B_0 map.

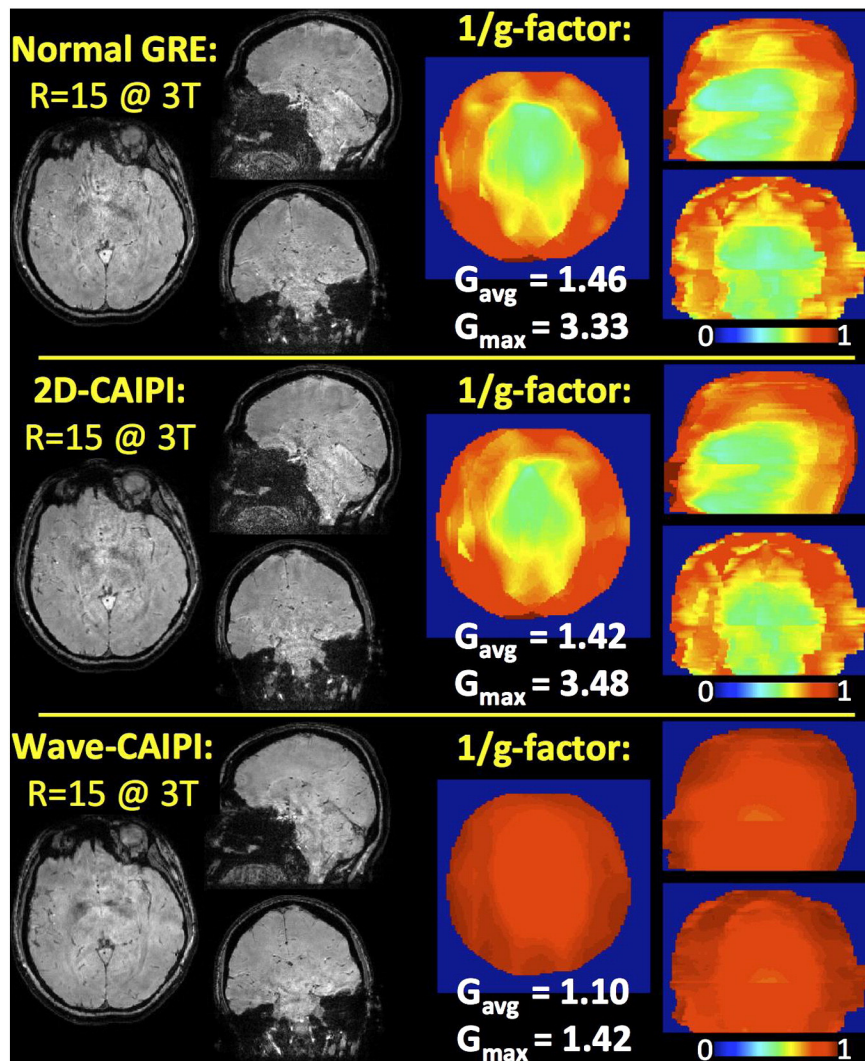


Fig. 9. Parallel imaging performances of normal GRE, 2D-CAIPI, and Wave-CAIPI at 3T upon $R = 15$ -fold acceleration. Wave-CAIPI reduces the maximum g -factor by more than 2-fold while incurring only 10% noise amplification on average.

520 SNR in the complex signal, phase evolution also occurs at a faster rate
 521 proportional to the main field strength. Compared to 3T, this permits
 522 similar tissue contrast to be attained at a shorter TE values, thus making
 523 it possible to use a smaller TR at 7T. (ii) Increased orthogonality of the
 524 coil sensitivity profiles due to reduced wavelength provides better par-
 525 allel imaging performance (Wiesinger et al., 2004), as can be seen in the
 526 g -factor analysis in Fig. 1. (iii) Tight-fitting custom head coil brings the
 527 detector elements closer to the head, thus yielding increased SNR and
 528 g -factor performance. This, however, also presents a disadvantage for
 529 COSMOS imaging. Due to limited space, the largest degree of rotation
 530 was only 13° at 7T, whereas up to 40° of rotation was possible with
 531 the product head coil at 3T. This constraint necessitated Tikhonov regu-
 532 larization to mitigate residual streaking artifacts (Fig. 2). Acquiring addi-
 533 tional head orientations will improve the conditioning of the inversion,
 534 albeit at the cost of additional scan time.

535 Wave-CAIPI had been previously shown to provide substantial
 536 improvement in image quality relative to normal GRE acquisitions at
 537 3T and 7T (Bilgic et al., 2015). This improvement had been quantified
 538 by computing g -factor maps as well as reconstruction errors relative
 539 to fully sampled acquisition. At $R = 9$ -fold acceleration, Wave-CAIPI
 540 had achieved 2-fold reduction in the maximum g -factor and reconstruc-
 541 tion error compared to normal GRE. Herein, we have further compared
 542 g -factor noise amplification at $R = 15$ -fold acceleration for normal GRE,
 543 2D-CAIPI, and Wave-CAIPI methods (Fig. 9). As it provides more than 2-

fold reduction in maximum g -factor, rapid acquisition with Wave-CAIPI
 544 is again seen to retain high image quality. We note that the improve-
 545 ment due to 2D-CAIPI over normal GRE was minimal because the
 546 employed slice shift was not optimal. We have used an FOV/2 shift fac-
 547 tor, which leads to an FOV/10 shift in the collapsed space at $R = 5$ -fold
 548 in-plane undersampling. Due to such small shift, the variation in coil
 549 sensitivities has not increased significantly, and the g -factor benefit
 550 was minimal.
 551

Further, the stability and robustness of Wave-CAIPI in providing im-
 552 proved data quality was quantified through time-SNR analysis (Fig. 10).
 553 Compared to the fully sampled, time-matched normal GRE acquisition,
 554 $R = 15$ -fold accelerated Wave-CAIPI retained 86% of the time-SNR
 555 while dramatically improving the slice coverage. Conversely, time-
 556 SNR of $R = 15$ normal GRE acquisition remained at 61% of the fully
 557 sampled data. While acquiring larger number of repetitions would provide
 558 a more robust measure of time-SNR, we limited the repetitions to 7 aver-
 559 ages per method to complete the *in vivo* scan under 45 min. This has
 560 allowed us to keep inter- and intra-repetition motion to a minimum.
 561 Despite this, intra-repetition motion correction was applied to improve
 562 fidelity of tSNR metrics.
 563

Wave-CAIPI multi-orientation acquisitions detailed herein
 564 employed a large FOV (255 mm in-plane) that was held constant
 565 throughout the head rotations. This way, repositioning the acquisition
 566 volume was not necessary, and a single rotation matrix was sufficient
 567

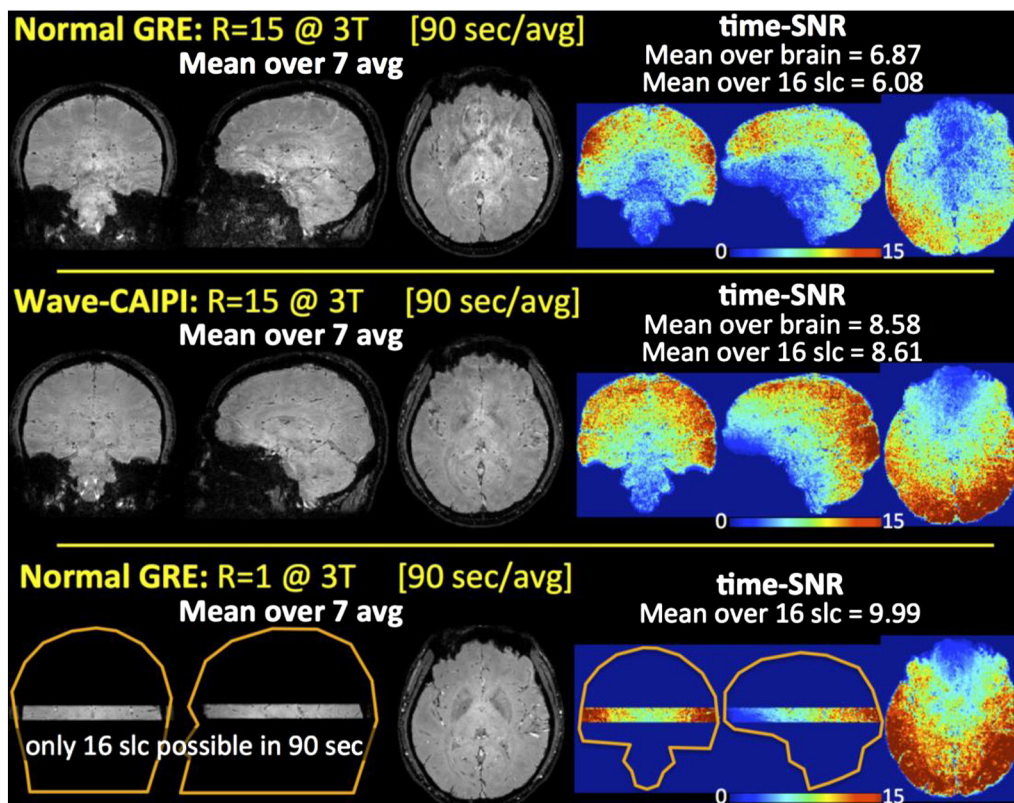


Fig. 10. Mean volumes computed over 7 averages for $R = 15$ normal GRE and Wave-CAIPI, and time-matched, fully sampled normal GRE. Time-SNR analyses revealed improved stability and robustness in Wave-CAIPI relative to accelerated normal GRE.

to describe the orientation relative to the main field. Employing tight-fitting FOV acquisition at lower acceleration factor with repositioning of the acquisition box at each head orientation would result in similar parallel imaging performance. However, this would entail a more complicated reconstruction, requiring the inclusion of additional rotation matrices.

Extensions

Analysis on numerical phantom and *in vivo* data in Li and van Zijl (2014) indicate that the maximum degree of head rotation is more important than the total number of head orientations to minimize the angular error in STI fiber orientation. It could then be a viable strategy to use a larger head coil, such as the 20-channel product coil, and achieve larger degrees of rotation. Due to reduced channel count, the parallel reception capability will decrease, e.g. $R = 9$ acceleration with Wave-CAIPI may provide similar g -factor performance to the 32-channel case with $R = 15$ -fold speed-up. The increase in acquisition time could then be balanced by reducing the number of head orientations to e.g. 8, and while retaining similar, or potentially better, STI fiber orientation fidelity. The reconstruction quality can also be improved by constraining the gray matter and CSF voxels to have isotropic susceptibility, thus reducing the number of unknowns in the STI inverse problem (Li and van Zijl, 2014).

Another interesting venue in STI research is the use of tensor orientation and symmetry constraints with the help of additional DTI data (Li et al., 2012b; Wisnieff et al., 2013). This strategy can be used to synergistically combine DTI with accelerated Wave-CAIPI data, thus making a 10-min STI examination possible with 4 orientations and 1.1 mm isotropic resolution.

Strong magnetic susceptibility differences near air-tissue and air-bone interfaces induce macroscopic static magnetic field inhomogeneity

that hampers the investigation of inferior frontal and temporal brain regions. This has motivated specialized hardware development for compensation of these effects (Pan et al., 2012; Juchem et al., 2011; Stockmann et al., 2014; Truong et al., 2014). We expect such hardware to improve the fidelity of STI tractography, especially in the vicinities of the nasal cavity and ear canals. Further comparison and validation against DTI tractography are warranted to investigate the fidelity of these tracts.

Due to the long TE required for building up phase and susceptibility contrast, single-echo 3D-GRE acquisition has considerable dead time prior to data sampling window. This unused time can be utilized by sampling additional echos, which can be combined in a weighted average to improve magnitude and phase SNR (Wu et al., 2012a). Alternatively, “echo-shifting” approach can be used to improve encoding efficiency at the cost of SNR (Liu et al., 1993; Feinberg et al., 2002; Loenneker et al., 1996). Echo-shift strategy has the potential to provide further improvement in acquisition efficiency of Wave-CAIPI, thus attaining >20 -fold acceleration. To this end, MultiPINS pulses (Eichner et al., 2014; Norris et al., 2011) can be utilized to excite sets of “comb” slices that cover the entire brain. Considering an echo-shift factor of $2\times$, it is possible to employ 2 RF excitation pulses, 1st RF exciting odd numbered slices, and 2nd RF exciting even numbered slices. By playing RF pulses during the unused period before the data acquisition, sequence timing can be fully utilized to obtain >1.5 -fold improvement in efficiency.

The maximum gradient/slew specifications of the Wave corkscrew trajectory were relatively mild for the low-bandwidth acquisitions employed in this work. For higher bandwidth (e.g. multi-echo or EPI) acquisitions, it will be necessary to push the system closer to its limits to provide substantial g -factor reduction. Due to the fast G_x encoding utilized in EPI, G_y and G_z corkscrew gradients can be used with a single rather than multiple cycles per k_x readout. This would enable corkscrew

630 trajectory with a large-enough radius to be generated effectively to
631 spread the aliasing.

632 Conclusions

633 Wave-CAIPI acquisition/reconstruction technique allows 15-fold
634 accelerated 3D-GRE acquisition with high image quality and reduced
635 g-factor noise amplification penalty. This speed-up can facilitate the
636 acquisition of STI and COSMOS protocols, which require data acquired
637 at multiple head orientations relative to the main field. At 7T, Wave-
638 CAIPI allows whole-brain COSMOS imaging at 0.5 mm isotropic voxel
639 size in 5:35 min/orientation. Accounting for shimming and coil sensitiv-
640 ity calibration, this enables a 20-min protocol with superb depiction of
641 cortical contrast, midbrain, and basal ganglia. At 3T, 15-fold acceleration
642 enables a 90 s/orientation acquisition with 1.1 mm isotropic resolution
643 and whole-brain coverage. Including shimming and sensitivity calibra-
644 tion, this makes a 30-min STI examination with 12 head rotations
645 possible.

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